

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 2001-0430.02 (56674.US/4665.0)

First Named Inventor Paul W. GRAF et al.

Title LOW PROFILE INK JET CARTRIDGE ASSEMBLY

Express Mail Label No. EV 328 516 509 US

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents.

**ADDRESS TO:**Commissioner for Patents  
Mail Stop PATENT APPLICATION  
P.O. Box 1450  
Alexandria, VA 22313-1450

- 1 ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- 2 ☐ Applicant claims small entity status
- 3 ☒ Specification [Total Pages 16]
- 4 ☒ Drawing(s) (35 U.S.C 113) [Total Sheets 8]
- 5 ☐ Oath or Declaration [Total Pages 11]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in  
the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- 6 ☐ Application Data Sheet. See 37 C.F.R. 1.76
- 7 ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
- 8 Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

- 9 ☒ Assignment Papers (cover sheet & document(s))
- 10 ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- 11 ☐ English Translation Document (if applicable)
- 12 ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- 13 ☐ Preliminary Amendment
- 14 ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- 15 ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 16 ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent
- 17 ☐ Other:

18. If a
- CONTINUING APPLICATION**
- , check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner

Group / Art Unit:

For **CONTINUATION OR DIVISIONAL APPS only**: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**

Customer No. 21972 or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or Correspondence address below

Name Lexmark International, Inc.

Address 740 West New Circle Road

City Lexington

State

KY

Zip Code

40550

Country U.S.

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859-232-3668

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859-232-7850

Name (Print/Type) David E. LaRose

Registration No. (Attorney) 34,369

Signature

Date October 15, 2003

# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Complete If Known

|                      |                                |
|----------------------|--------------------------------|
| Application Number   |                                |
| Filing Date          | October 15, 2003               |
| First Named Inventor | Paul W. GRAF et al.            |
| Examiner Name        |                                |
| Group / Art Unit     |                                |
| Attorney Docket No.  | 2001-0430.02 (56674.US/4665.0) |

TOTAL AMOUNT OF PAYMENT (\$ 810.

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No. **12-2355**  
Deposit Account Name:  
**LUDEKA, NEELY & GRAHAM**

- ☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17.

2. ☒ Payment Enclosed: **Check No. 49269**

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 101          | 750      | 201          | 375      | Utility filing fee     | 770.     |
| 106          | 330      | 206          | 165      | Design filing fee      |          |
| 107          | 520      | 207          | 260      | Plant filing fee       |          |
| 108          | 750      | 208          | 375      | Reissue filing fee     |          |
| 114          | 160      | 214          | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |          |              |          |                        | (\$ 770. |

### 2. EXTRA CLAIM FEES

Total Claims 11 - 20\*\* = 0 X 0 = 0  
Independent Claims 2 - 3\*\* = 0 X 0 = 0

Multiple Dependent Claims X =  
\*\*or number previously paid, if greater.

| Large Entity |          | Small Entity |          | Fee Description                   | Fee Paid |
|--------------|----------|--------------|----------|-----------------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                                   |          |
| 103          | 18       | 203          | 9        | Claims in excess of 20            |          |
| 102          | 84       | 202          | 42       | Independent claims in excess of 3 |          |
| 104          | 280      | 204          | 140      | Multiple dependent claim          |          |

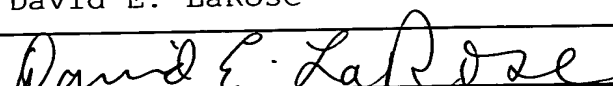
SUBTOTAL (2) (\$ 0

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity                      |          | Small Entity |          | Fee Description                                                            | Fee Paid |
|-----------------------------------|----------|--------------|----------|----------------------------------------------------------------------------|----------|
| Fee Code                          | Fee (\$) | Fee Code     | Fee (\$) |                                                                            |          |
| 105                               | 130      | 205          | 65       | Surcharge - late filing fee or oath                                        |          |
| 127                               | 50       | 227          | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                               | 130      | 139          | 130      | Non-English specification                                                  |          |
| 115                               | 110      | 215          | 55       | Extension for reply within first month                                     |          |
| 116                               | 400      | 216          | 200      | Extension for reply within second month                                    |          |
| 117                               | 920      | 217          | 460      | Extension for reply within third month                                     |          |
| 118                               | 1,440    | 218          | 720      | Extension for reply within fourth month                                    |          |
| 128                               | 1,960    | 228          | 980      | Extension for reply within fifth month                                     |          |
| 119                               | 320      | 219          | 160      | Notice of Appeal                                                           |          |
| 120                               | 320      | 220          | 160      | Filing a brief in support of appeal                                        |          |
| 121                               | 280      | 221          | 140      | Request for oral hearing                                                   |          |
| 138                               | 1,510    | 138          | 1,510    | Petition to institute a public use proceeding                              |          |
| 140                               | 110      | 240          | 55       | Petition to revive - unavoidable                                           |          |
| 141                               | 1,280    | 241          | 640      | Petition to revive - unintentional                                         |          |
| 142                               | 1,280    | 242          | 640      | Utility issue fee (or reissue)                                             |          |
| 143                               | 460      | 243          | 230      | Design issue fee                                                           |          |
| 144                               | 620      | 244          | 310      | Plant issue fee                                                            |          |
| 122                               | 130      | 122          | 130      | Petitions to the Commissioner                                              |          |
| 123                               | 50       | 123          | 50       | Processing fee under 37 CFR 1.17(q)                                        |          |
| 126                               | 180      | 126          | 180      | Submission of Information Disclosure Statement                             |          |
| 581                               | 40       | 581          | 40       | Recording each patent assignment per property (times number of properties) | 40.      |
| 146                               | 740      | 246          | 370      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| Other fee (specify)               |          |              |          |                                                                            |          |
| *Reduced by Basic Filing Fee Paid |          |              |          |                                                                            |          |
| SUBTOTAL (3)                      |          |              |          |                                                                            | (\$ 40.  |

## SUBMITTED BY

|                       |                                                                                     |                  |                  |
|-----------------------|-------------------------------------------------------------------------------------|------------------|------------------|
| Typed or Printed Name | David E. LaRose                                                                     | Registration No. | 34,369           |
| Signature             |  | Date             | October 15, 2003 |